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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/654,738
		Filing Date	Sep 04, 2003
		First Named Inventor	Senturk
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	133667 (RD31588 / GE1-029US)

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) Sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application.	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-1449; reference copies (6); return receipt postcard
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Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David M. Huntley, Reg. No. 40,309
Signature	
Date	12/12/03

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: \_\_\_\_\_

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. .... 10/654,738  
Filing Date ..... September 04, 2003  
Inventorship ..... Senturk  
Applicant ..... General Electric  
Attorney's Docket No. .... 133667/RD-31,588 (GE1-029US)  
Title: Techniques for Performing Business Analysis Based on Incomplete and/or  
Stage-Based Data

### INFORMATION DISCLOSURE STATEMENT

*References -- See Attached Form PTO-1449*

### REMARKS

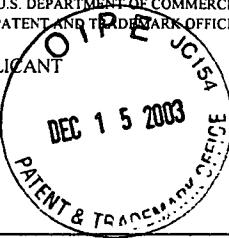
The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

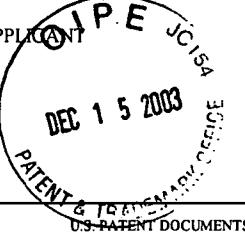
Respectfully Submitted,

Date: December 12, 2003

By: David M. Huntley  
David M. Huntley  
Reg. No. 40,309

Form PTO-1449 <b>LIST OF DOCUMENTS CITED BY APPLICANT</b> (Use several sheets if necessary)				U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 		ATTY. DOCKET NO. 133667 (RD31588) (GE1-029US)		SERIAL NO. 10/654,738	
				INVENTOR Senturk et al.					
				FILING DATE 09/04/2003		GROUP			
<b>U.S. PATENT DOCUMENTS</b>									
*Examiner Initial		Document Number	Date	Name		Class	Subclass	Filing Date If Appropriate	
/N.K./		5,063,506	11/05/1991	Brockwell et al.					
		5,237,495	08/17/1993	Morii					
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		5,845,270	12/01/1998	Schatz et al.					
↓		5,854,746	12/29/1998	Yamamoto					
<b>FOREIGN PATENT DOCUMENTS</b>									
		Document Number	Date	Country		Class	Subclass	Translation (Y or N)	
<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)</b>									
/N.K./		U.S. Patent Application No. 10/339,166, filed 1/9/2003, Johnson et al., entitled "Digital Cockpit."							
/N.K./		U.S. Patent Application No. 10/418,923, filed 4/18/2003, Johnson et al., entitled "Visualizing Business Analysis Results."							
/N.K./		U.S. Patent Application No. 10/418,609, filed 4/18/2003, Johnson et al., entitled "Controlling a Business Using a Business Information and Decisioning Control System."							
EXAMINER /Neil Kardos/				DATE CONSIDERED 03/31/2008					
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>									

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LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)						INVENTOR Senturk et al.		
						FILING DATE 09/04/2003	GROUP	
U.S. PATENT DOCUMENTS								
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		5,970,476	10/19/1999	Fahey				
		6,006,196	12/21/1999	Feigin et al.				
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		6,236,977	05/22/2001	Verba et al.				
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		Document Number	Date	Country		Class	Subclass	Translation (Y or N)
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)								
/N.K./		U.S. Patent Application No. 10/418,428, filed 4/18/2003, LaComb et al., entitled "Development of a Model for Integration into a Business Intelligence System."						
/N.K./		U.S. Patent Application No. 10/418,339, filed 4/18/2003, Johnson et al., entitled "Generating Business Analysis Results in Advance of a Request for the Results."						
/N.K./		U.S. Patent Application No. 10/418,928, filed 4/18/2003, Johnson et al., entitled "Performing What-If Forecasting Using a Business Information and Decisioning Control System."						
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				INVENTOR Senturk et al.			
				FILING DATE 09/04/2003		GROUP	
U.S. PATENT DOCUMENTS							
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